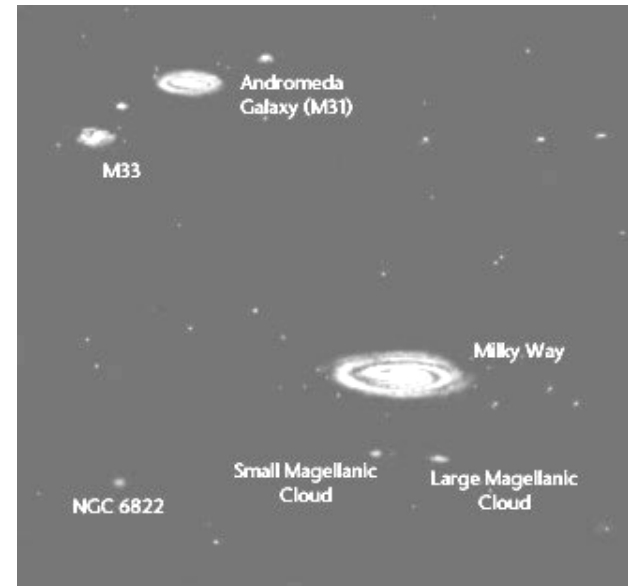
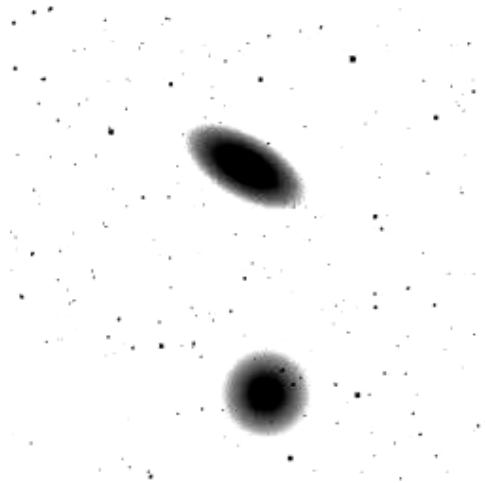


# Gravitational Dynamics: An Introduction HongSheng Zhao



# C1.1.1 Our Galaxy and Neighbours

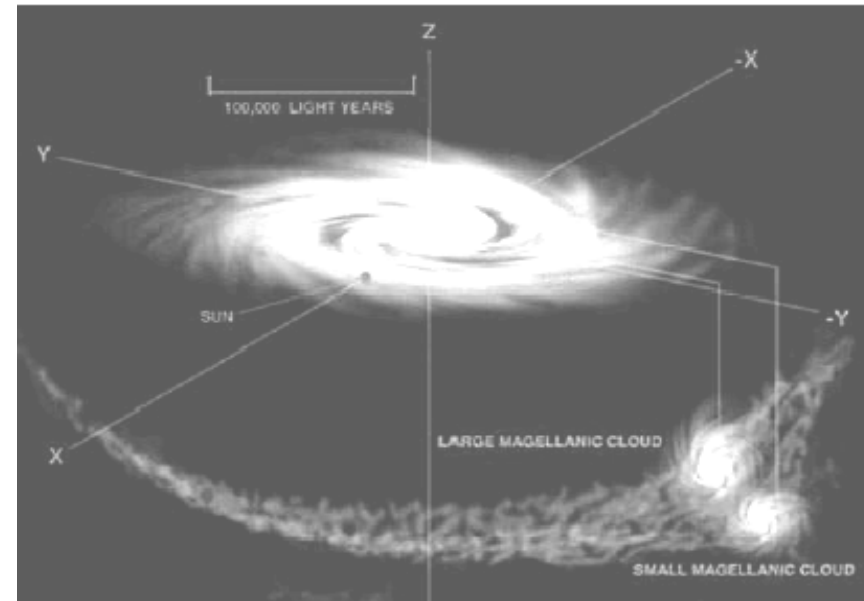
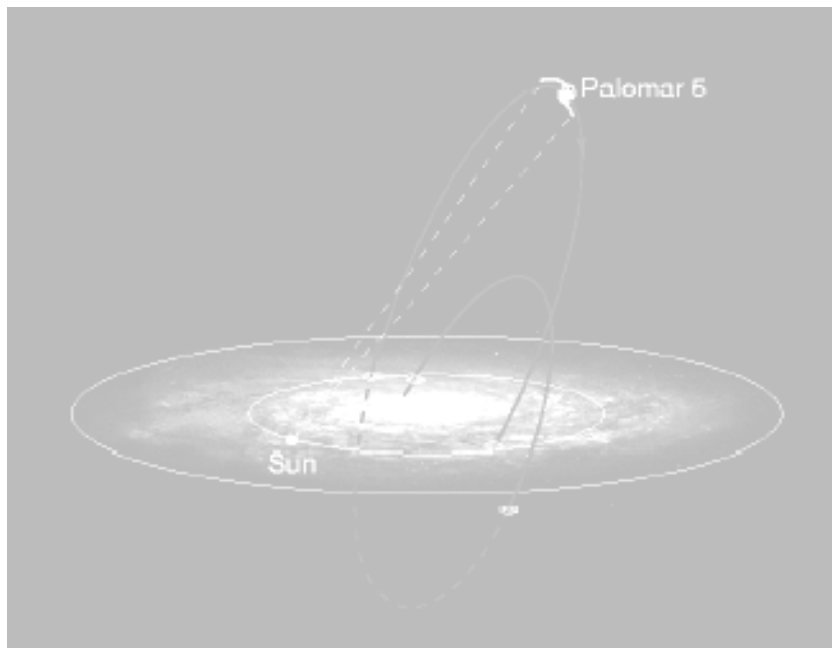


- How structure in universe form/evolve?
- Galaxy Dynamics Link together early universe & future.

# Our Neighbours

- M31 (now at 500 kpc) separated from MW a Hubble time ago
- Large Magellanic Cloud has circled our Galaxy for about 5 times at 50 kpc
  - **argue** both neighbours move with a typical 100-200km/s velocity relative to us.

# Outer Satellites on weak-g orbits around Milky Way



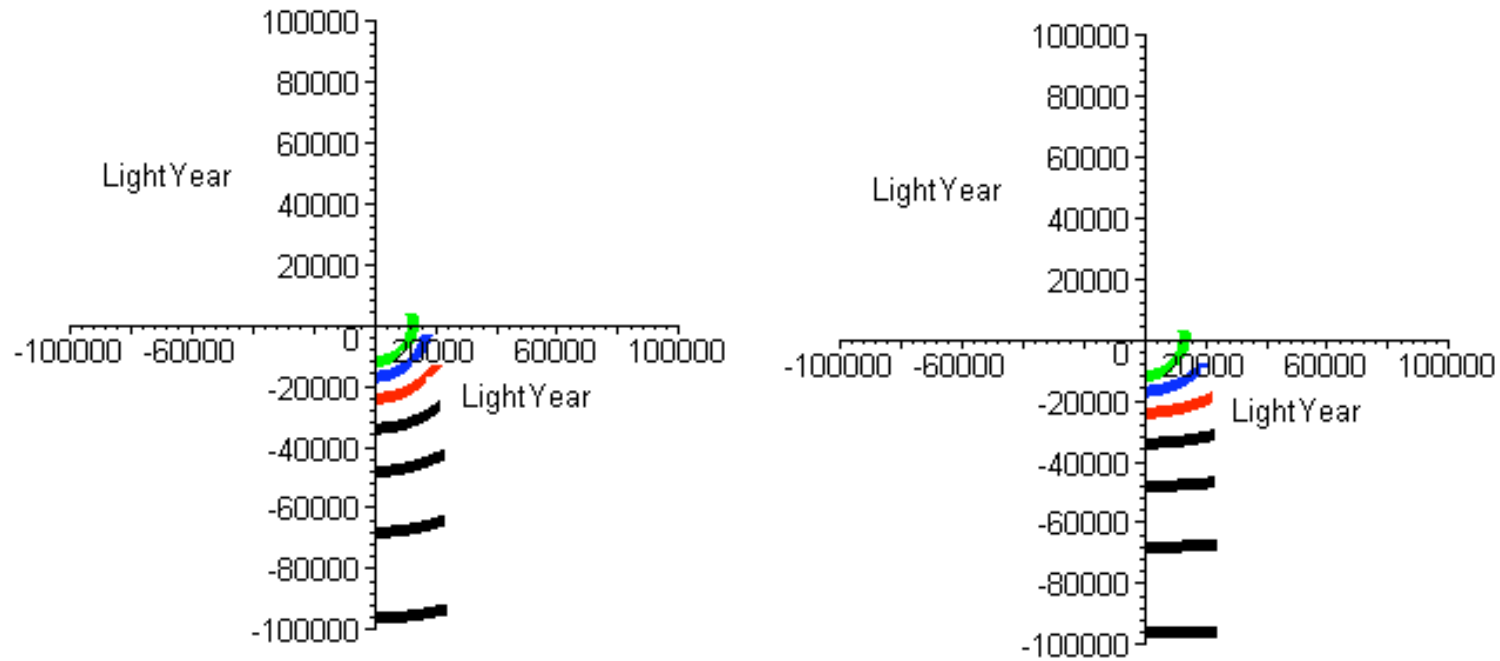
$R > 10\text{kpc}$ : Magellanic/Sgr/Canis streams  
 $R > 50\text{kpc}$ : Draco/Ursa/Sextans/Fornax...

$\sim 50$  globulars on weak-g ( $R < 150\text{ kpc}$ )  
 $\sim 100$  globulars on strong-g ( $R < 10\text{ kpc}$ )

## C1.1.2 Milky Way as Gravity Lab

- Sun has circulated the galaxy for 30 times
  - velocity vector changes direction +/- 200km/s twice each circle (  $R = 8 \text{ kpc}$  )
  - **Argue** that the MW is a nano-earth-gravity Lab
  - **Argue** that the gravity due to  $10^{10}$  stars only within 8 kpc is barely enough. Might need to add Dark Matter.

# Sun escapes unless our Galaxy has Dark Matter



## C1.1.3 Dynamics as a tool

- Infer additional/dark matter
  - E.g., Weakly Interacting Massive Particles
    - proton mass, but much less interactive
    - Suggested by Super-Symmetry, but undetected
  - A \$billion\$ industry to find them.
    - What if they don't exist?

...

- Test the law of gravity:
  - valid in nano-gravity regime?
  - Uncertain outside solar system:
    - $GM/r^2$  or  $cst/r$  ?



# Outer solar system

- The Pioneer experiences an anomalous non-Keplerian acceleration of  $10^{-8} \text{ cm s}^{-2}$ 
  - What is the expected acceleration at 10 AU?
  - What could cause the anomaly?

## Gravitational Dynamics can be applied to:

- Two body systems:binary stars
- Planetary Systems, Solar system
- Stellar Clusters:open & globular
- Galactic Structure:nuclei/bulge/disk/halo
- Clusters of Galaxies
- The universe:large scale structure

# Topics

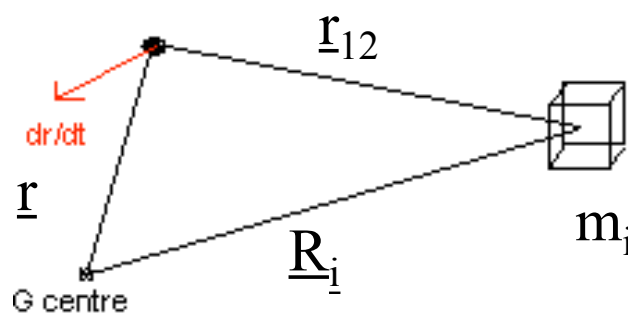
- Phase Space Fluid  $f(x,v)$ 
  - Eq<sup>n</sup> of motion
  - Poisson's equation
- Stellar Orbits
  - Integrals of motion (E,J)
  - Jeans Theorem
- Spherical Equilibrium
  - Virial Theorem
  - Jeans Equation
- Interacting Systems
  - Tides → Satellites → Streams
  - Relaxation → collisions
- MOND

## C2.1 How to model motions of $10^{10}$ stars in a galaxy?

- Direct N-body approach (as in simulations)
  - At time  $t$  particles have  $(m_i, x_i, y_i, z_i, v_{x_i}, v_{y_i}, v_{z_i})$ ,  $i=1,2,\dots,N$  (feasible for  $N \ll 10^6$ ).
- Statistical or fluid approach ( $N$  very large)
  - At time  $t$  particles have a spatial density distribution  $n(x,y,z)*m$ , e.g., uniform,
  - at each point have a velocity distribution  $G(v_x, v_y, v_z)$ , e.g., a 3D Gaussian.

## C2.2 N-body Potential and Force

- In N-body system with mass  $m_1 \dots m_N$ , the gravitational acceleration  $\mathbf{g}(\mathbf{r})$  and potential  $\phi(\mathbf{r})$  at position  $\mathbf{r}$  is given by:



$$\vec{F} = m\vec{g}(\mathbf{r}) = -\sum_{i=1}^N \frac{G \cdot m \cdot m_i \cdot \hat{r}_{12}}{|\vec{r} - \vec{R}_i|^2} = -m\vec{\nabla}_r \phi$$
$$\Phi = m\phi(\mathbf{r}) = -\sum_{i=1}^N \frac{G \cdot m \cdot m_i}{|\vec{r} - \vec{R}_i|}$$

## Example: Force field of two-body system in Cartesian coordinates

$$\phi(\vec{r}) = - \sum_{i=1}^2 \frac{G \cdot m_i}{|\vec{r} - \vec{R}_i|}, \text{ where } \vec{R}_i = (0, 0, -i) * a, m_i = m_0.$$

Sketch the configuration, sketch equal potential contours

$$\phi(x, y, z) = ?$$

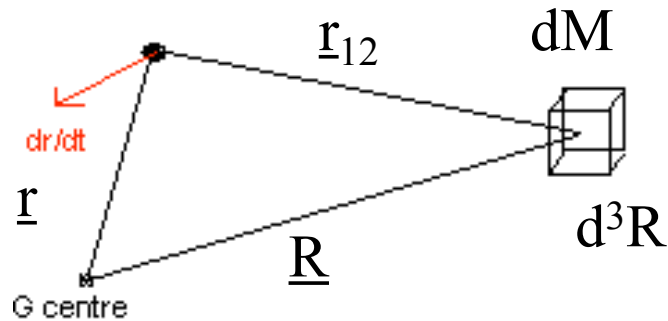
$$\vec{g}(\vec{r}) = (g_x, g_y, g_z) = -\nabla\phi(\vec{r}) = \left(-\frac{\partial\phi}{\partial x}, -\frac{\partial\phi}{\partial y}, -\frac{\partial\phi}{\partial z}\right)$$

$$\|\vec{g}(\vec{r})\| = \sqrt{(g_x^2 + g_y^2 + g_z^2)} = ?$$

sketch field lines. at what positions is force = 0?

## C2.3 A fluid element: Potential & Gravity

- For large  $N$  or a continuous fluid, the gravity  $d\vec{g}$  and potential  $d\phi$  due to a small mass element  $dM$  is calculated by replacing  $m_i$  with  $dM$ :



$$d\vec{g} = -\frac{G \cdot dM \cdot \hat{r}_{12}}{|\vec{r} - \vec{R}_i|^2}$$

$$d\phi = -\frac{G \cdot dM}{|\vec{r} - \vec{R}|}$$

## Lec 2: Why Potential $\phi(\mathbf{r})$ ?

- Potential per unit mass  $\phi(\mathbf{r})$  is scalar,
  - function of  $\underline{\mathbf{r}}$  only,
  - Related to but easier to work with than force (vector, 3 components)
  - Simply relates to orbital energy  $E = \phi(\mathbf{r}) + \frac{1}{2} v^2$



## C2.4 Poisson's Equation

- PE relates the potential to the density of matter generating the potential by:

$$\nabla \cdot \nabla \phi = -\vec{\nabla} \cdot \vec{g} = 4\pi G \rho(r)$$

- [BT2.1]

## C2.5 Eq. of Motion in N-body

- Newton's law: a point mass  $m$  at position  $\underline{r}$  moving with a velocity  $d\underline{r}/dt$  with Potential energy  $\Phi(\underline{r}) = m\phi(r)$  experiences a Force  $\underline{F} = m\underline{g}$ , accelerates with following Eq. of Motion:

$$\frac{d}{dt} \left[ \frac{d\vec{r}(t)}{dt} \right] = \frac{\vec{F}}{m} = \frac{-\vec{\nabla}_{\vec{r}} \Phi(r)}{m}$$

# Example 1: trajectories when $G=0$

- Solve Poisson's Eq. with  $G=0 \rightarrow$ 
  - $\mathbf{F}=0, \rightarrow \Phi(\underline{\mathbf{r}})=\text{cst}, \rightarrow$
- Solve EoM for particle  $i$  initially at  $(\mathbf{X}_{0,i}, \mathbf{V}_{0,i})$ 
  - $d\mathbf{V}_i/dt = \mathbf{F}_i/m_i = 0 \quad \rightarrow \mathbf{V}_i = \text{cst} = \mathbf{V}_{0,i}$
  - $d\mathbf{X}_i/dt = \mathbf{V}_i = \mathbf{V}_{0,i} \quad \rightarrow \mathbf{X}_i(t) = \mathbf{V}_{0,i} t + \mathbf{X}_{0,i}$
  - where  $\mathbf{X}, \mathbf{V}$  are vectors,
  - $\rightarrow$  straight line trajectories
- E.g., photons in universe go straight
  - occasionally deflected by electrons,
  - Or bent by gravitational lenses

# What have we learned?

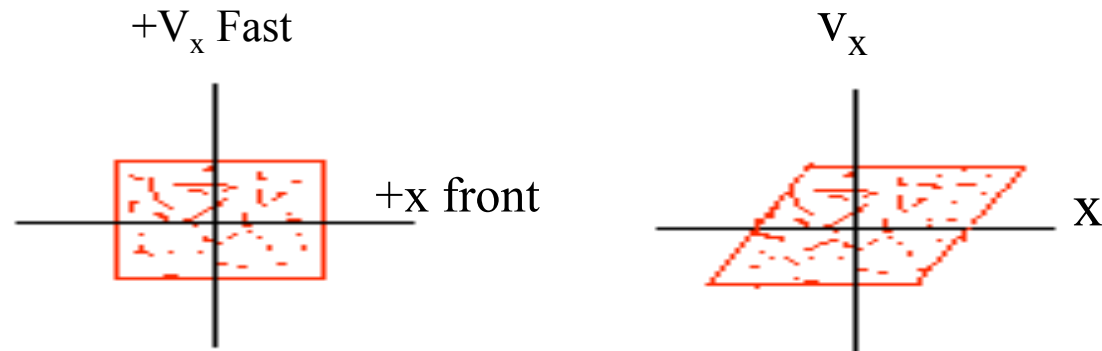
- Implications on gravity law and DM.
- Poisson's eq. and how to calculate gravity
- Equation of motion

# How N-body system evolves

- Start with initial positions and velocities of all N particles.
- Calculate the mutual gravity on each particle
  - Update velocity of each particle for a small time step  $dt$  with EoM
  - Update position of each particle for a small time step  $dt$
- Repeat previous for next time step.
- **→** N-body system fully described

## C2.6 Phase Space of Galactic Skiers

- $N_{\text{skiers}}$  identical particles moving in a small bundle in phase space ( $\text{Vol} = \Delta_x \Delta_v$ ),
- phase space deforms but maintains its area.



- Gap widens between faster & slower skiers
  - but the phase volume & No. of skiers are constants.

# “Liouville's Theorem on the piste”

- Phase space density of a group of skiers is const.

$$f = m N_{\text{skiers}} / \Delta x \Delta v_x = \text{const}$$

Where  $m$  is mass of each skier,

[ BT4.1 ]

## C2.7 density of phase space fluid: Analogy with air molecules

- air with uniform density  $n=10^{23} \text{ cm}^{-3}$

Gaussian velocity rms velocity  $\sigma = 0.3 \text{ km/s}$

in x,y,z directions:

$$f(\mathbf{x}, \mathbf{v}) = \frac{m \times n_0 \exp\left(-\frac{v_x^2 + v_y^2 + v_z^2}{2\sigma^2}\right)}{(\sqrt{2\pi}\sigma)^3}$$

- Estimate  $f(0,0,0,0,0,0)/m$  in  $\text{pc}^{-3} (\text{km/s})^{-3}$



## Lec 3 (Tuesday)

### C2.8 Phase Space Distribution Function (DF)

**PHASE SPACE DENSITY:** No. of sun-like stars per unit volume per velocity volume  
 $f(\mathbf{x}, \mathbf{v})$

$$f(\mathbf{x}, \mathbf{v}) = \frac{dN \times m_{\text{sun}}}{dx^3 dv^3} = \frac{\text{number of suns} \times m_{\text{sun}}}{\text{space volume} \times \text{velocity volume}}$$

$$\square \frac{1 \times m_{\text{sun}}}{\text{pc}^3 \times (100 \text{kms}^{-1})^3}$$

## C2.9 add up stars: integrate over phase space

- star mass density: integrate velocity volume

$$\rho(\vec{x}) = m_{sun} \times n(\vec{x}) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(\vec{x}, \vec{v}) d\vec{v}_x d\vec{v}_y d\vec{v}_z$$

- The total mass : integrate over phase space

$$M_{total} = \int \rho(x) d^3x = \int f(\vec{x}, \vec{v}) d^3\vec{v} d^3\vec{x}$$

- define spatial density of stars  $n(\underline{x})$

$$n \equiv \int f d^3v$$

- and the mean stellar velocity  $\bar{v}(\underline{x})$

$$\bar{nv}_i \equiv \text{flux in } i\text{-direction} = \int f v_i d^3v$$

- E.g., Conservation of flux (without proof)

$$\frac{\partial n}{\partial t} + \frac{\partial (\bar{nv}_1)}{\partial x_1} + \frac{\partial (\bar{nv}_2)}{\partial x_2} + \frac{\partial (\bar{nv}_3)}{\partial x_3} = 0$$

## C3.0 Star clusters differ from air:

- Stars collide far less frequently
  - size of stars  $\ll$  distance between them
  - Velocity distribution not isotropic
- Inhomogeneous density  $\rho(\mathbf{r})$  in a Grav. Potential  $\varphi(\mathbf{r})$

## Example 2: A 4-body problem

- Four point masses with  $G m = 1$  at rest  $(x,y,z)=(0,1,0),(0,-1,0),(-1,0,0),(1,0,0)$ . Show the initial total energy

$$E_{\text{init}} = 4 * ( \frac{1}{2} + 2^{-1/2} + 2^{-1/2} ) / 2 = 3.8$$

- Integrate EoM by brutal force for one time step =1 to find the positions/velocities at time  $t=1$ .

- Use  $V=V_0 + g t = g = (u, u, 0)$  ;  $u = 2^{1/2}/4 + 2^{1/2}/4 + 1/4 = 0.95$

- Use  $x= x_0 + V_0 t = x_0 = (0, 1, 0)$ .

- How much does the new total energy differ from initial?

$$E - E_{\text{init}} = \frac{1}{2} (u^2 + u^2) * 4 = 2 u^2 = 1.8$$

# Often-made Mistakes

- Specific energy or specific force confused with the usual energy or force
- Double-counting potential energy between any pair of mass elements, kinetic energy with  $v^2$
- Velocity vector  $V$  confused with speed,
- $1/|r|$  confused with  $1/|x|+1/|y|+1/|z|$

# What have we learned?

Potential to Gravity

$$g = -\nabla\phi$$

Potential to density

$$\rho = \frac{1}{4\pi G} \nabla^2 \phi$$

Density to potential

$$\phi(\vec{r}) = -\int \frac{G\rho d^3\vec{r}'}{|\vec{r} - \vec{r}'|}$$

Motion to gravity

$$g = dv / dt$$

# Concepts

- Phase space density
  - incompressible
  - Dimension Mass/[ Length<sup>3</sup> Velocity<sup>3</sup> ]
  - **Show** a pair of non-relativistic Fermionic particle occupy minimal phase space  $(x*v)^3 > (h/m)^3$  , hence has a maximum phase density  $=2m (h/m)^{-3}$

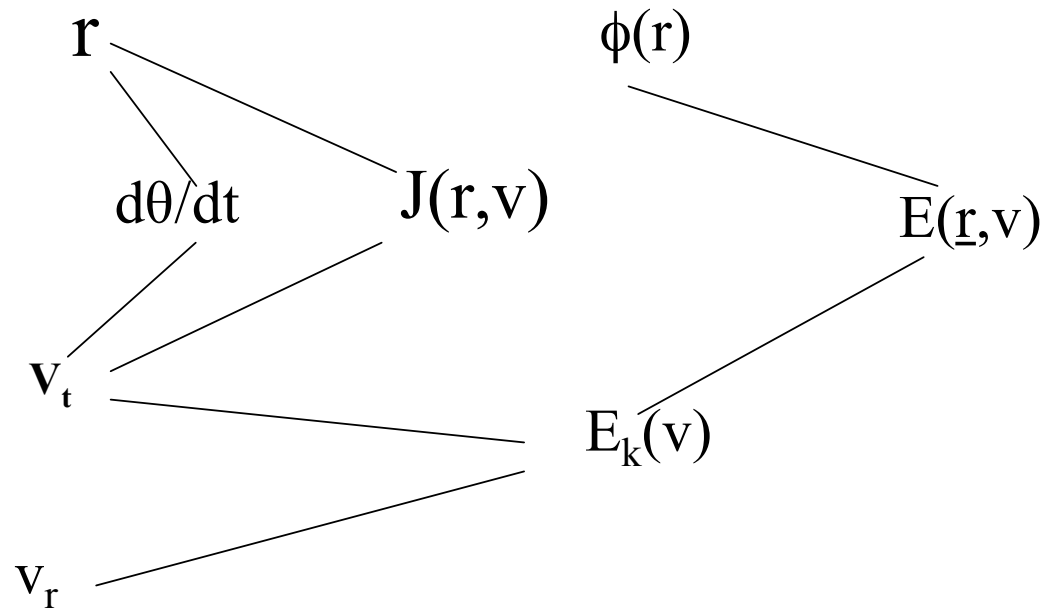


# Where are we heading to?

## Lec 4, Friday 22 Feb

- potential and eqs. of motion
  - in general geometry
  - Axisymmetric
  - spherical

# Link phase space quantities



## C 3.1: Laplacian in various coordinates

Cartesians :

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

Cylindrical :

$$\nabla^2 = \frac{1}{R} \frac{\partial}{\partial R} \left( R \frac{\partial}{\partial R} \right) + \frac{1}{R^2} \frac{\partial^2}{\partial \phi^2} + \frac{\partial^2}{\partial z^2}$$

Spherical :

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2}$$

### Example 3: Energy is conserved in STATIC potential

- The orbital energy of a star is given by:

$$E = \frac{1}{2}v^2 + \phi(\vec{r}, t)$$

$$\frac{dE}{dt} = \underbrace{\vec{v} \frac{d\vec{v}}{dt} + \frac{d\vec{r}}{dt} \nabla \phi}_{=0} + \underbrace{\frac{\partial \phi}{\partial t}}_{=0} = 0 + \frac{\partial \phi}{\partial t}$$

0 since  $\frac{d\vec{v}}{dt} = -\nabla \phi$

and  $\frac{d\vec{r}}{dt} = \vec{v}$

0 for static potential.

So orbital **Energy** is **Conserved**  $dE/dt=0$  only in “time-independent” potential.

## Example 4: Static Axisymmetric density $\rightarrow$ Static Axisymmetric potential

- We employ a cylindrical coordinate system  $(R, \theta, z)$  e.g., centred on the galaxy and align the  $z$  axis with the galaxy axis of symmetry.
- Here the potential is of the form  $\phi(R, z)$ .
- Density and Potential are Static and Axisymmetric
  - independent of time and azimuthal angle

$$\phi(R, z) \Rightarrow \rho(R, z) = \frac{1}{4\pi G} \left[ R \frac{\partial}{\partial R} \left( R \frac{\partial \phi}{\partial R} \right) + \frac{\partial^2 \phi}{\partial z^2} \right]$$

$$g_r = -\frac{\partial \phi}{\partial R} \qquad g_z = -\frac{\partial \phi}{\partial z}$$

## C3.2: Orbits in an axisymmetric potential

- Let the potential which we assume to be symmetric about the plane  $z=0$ , be  $\phi(R,z)$ .
- The general equation of motion of the star is

$$\frac{d^2\vec{r}}{dt^2} = -\nabla\phi(R,z) \quad \text{Eq. of Motion}$$

- Eqs. of motion in cylindrical coordinates

$$\ddot{z} = -\frac{\partial\phi}{\partial z}, \quad \ddot{R} - R\dot{\theta}^2 = -\frac{\partial\phi}{\partial R}, \quad 2\dot{R}\dot{\theta} + R\ddot{\theta} = \frac{d}{Rdt}(R^2\dot{\theta}) = -\frac{\partial\phi}{R\partial\theta} = 0$$

# Conservation of angular momentum z-component $J_z$ if axisymmetric

$$J_z = R^2 \dot{\theta} \Rightarrow \frac{d}{dt} J_z = \frac{d}{dt} (R^2 \dot{\theta}) = 0$$

- The component of angular momentum about the z-axis is conserved.
- If  $\phi(R,z)$  has no dependence on  $\theta$  then the azimuthal angular momentum is conserved
  - or because z-component of the torque  $\underline{r} \times \underline{F} = 0$ . (Show it)

# C4.1: Spherical Static System

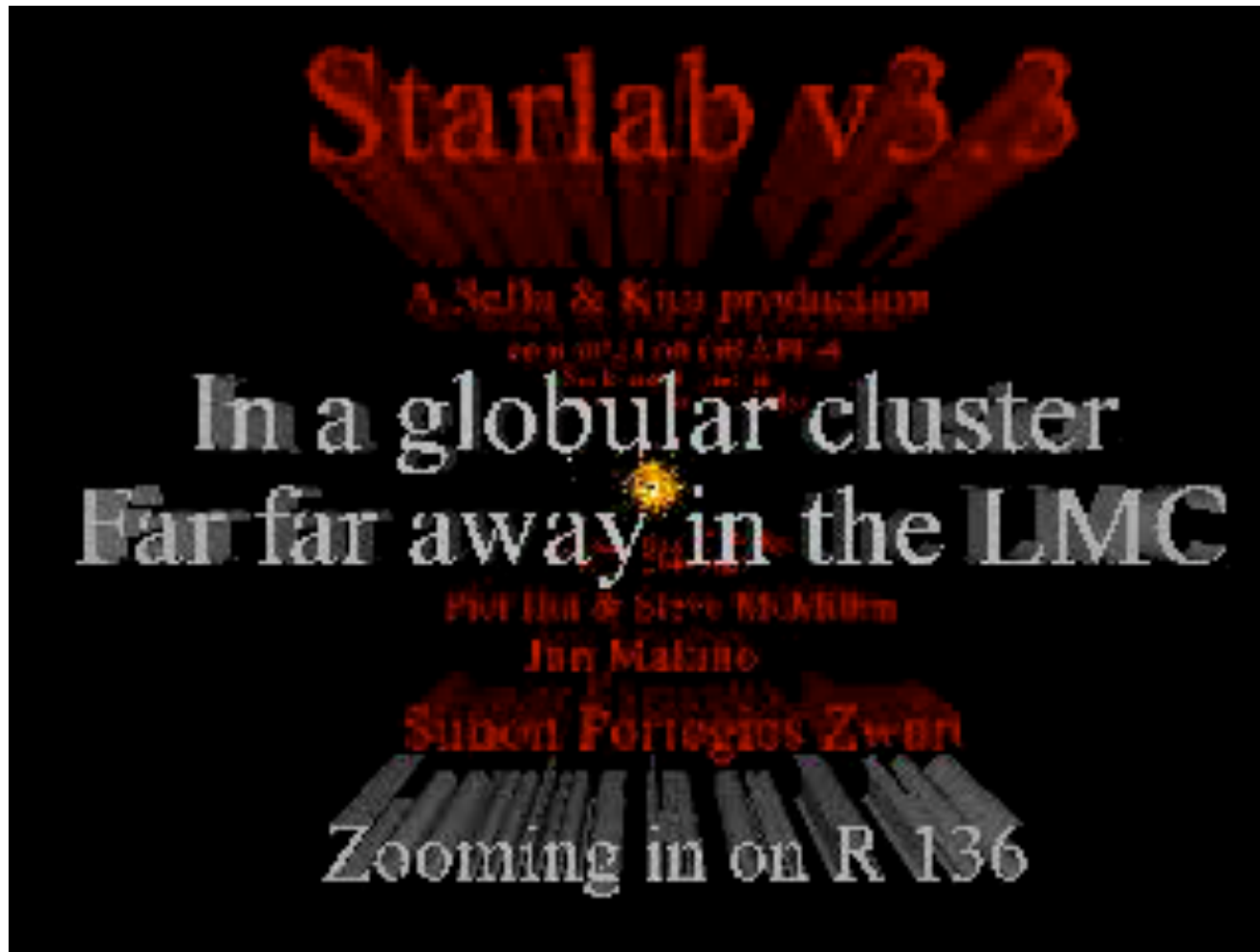
- Density, potential function of radius  $|r|$  only
- Conservation of
  - energy  $E$ ,
  - angular momentum  $J$  (all 3-components)
  - Argue that a star moves orbit which confined to a plane perpendicular to  $J$  vector.



# C 4.1.0: Spherical Cow Theorem

- Most astronomical objects can be approximated as spherical.
- Anyway non-spherical systems are too difficult to model, almost all models are spherical.

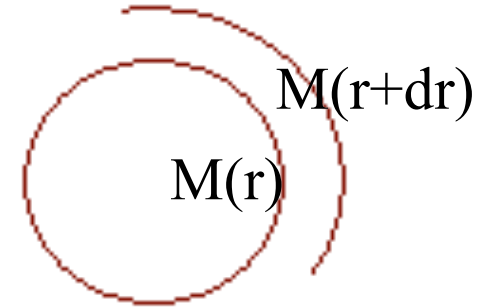
# Globular: A nearly spherical static system



## C4.2: From Spherical Density to Mass

$$M(R + dr) = M(R) + dM$$

$$dM = \rho(r) d\left(\frac{4}{3}\pi r^3\right) = 4\pi r^2 \rho(r) dr$$



$$\rho(r) = \frac{dM}{d\left(\frac{4}{3}\pi r^3\right)} = \frac{dM}{4\pi r^2 dr}$$

$$M(R) = \int \rho d\left(\frac{4}{3}\pi r^3\right)$$

## C4.3: Theorems on Spherical Systems

- **NEWTONS 1<sup>st</sup> THEOREM:** A body that is inside a spherical shell of matter experiences no net gravitational force from that shell
- **NEWTONS 2<sup>nd</sup> THEOREM:** The gravitational force on a body that lies outside a closed spherical shell of matter is the same as it would be if all the matter were concentrated at its centre. [BT 2.1]

## C4.4: Poisson's eq. in Spherical systems

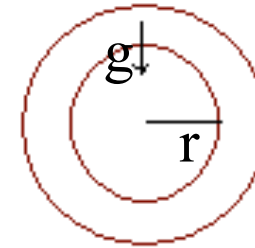
- Poisson's eq. in a spherical potential with no  $\theta$  or  $\Phi$  dependences is:

$$\nabla^2 \varphi = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \varphi}{\partial r} \right) = 4\pi G \rho(r)$$

- BT2.1.2

## Example 5: Interpretation of Poissons Equation

- Consider a spherical distribution of mass of density  $\rho(r)$ .



$$g = -\frac{GM(r)}{r^2}$$

$$\phi = \int_r^{\infty} g(r) dr \quad \text{since } \phi = 0 \text{ at } \infty \text{ and is } < 0 \text{ at } r$$

$$= -\int_r^{\infty} \frac{GM(r)}{r^2} dr$$

$$\text{Mass Enclosed} = \int_r^{\infty} 4\pi r^2 \rho(r) dr$$

- Take d/dr and multiply  $r^2 \rightarrow$

$$r^2 \frac{d\phi}{dr} = -gr^2 = GM(r) = \left( G \int 4\pi r^2 \rho(r) dr \right)$$

- Take d/dr and divide  $r^2 \rightarrow$

$$\frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \phi}{\partial r} \right) = \frac{1}{r^2} \frac{\partial}{\partial r} \left( -r^2 g \right) = \frac{1}{r^2} \frac{\partial}{\partial r} (GM) = 4\pi G \rho(r)$$

$$\rightarrow \nabla^2 \phi = -\vec{\nabla} \cdot \vec{g} = 4\pi G \rho$$

## C4.5: Escape Velocity

- **ESCAPE VELOCITY** = velocity required in order for an object to escape from a gravitational potential well and arrive at  $\infty$  with zero KE.

$$\phi(r) = \phi(\infty) - \frac{1}{2} v_{esc}^2$$

=0 often

$$\rightarrow v_{esc}(r) = \sqrt{2\phi(\infty) - 2\phi(r)}$$



## Example 6: Plummer Model for star cluster

- A spherically symmetric potential of the form:

$$\phi = -\frac{GM}{\sqrt{r^2 + a^2}}$$

e.g., for a globular cluster  $a=1\text{pc}$ ,  $M=10^5$  Sun Mass  
show  $V_{\text{esc}}(0)=30\text{km/s}$

- Show corresponding to a density (use Poisson's eq):

$$\rho = \frac{3M}{4\pi a^3} \left(1 + \frac{r^2}{a^2}\right)^{-\frac{5}{2}}$$

# What have we learned?

- Conditions for conservation of orbital energy, angular momentum of a test particle
- Meaning of escape velocity
- How Poisson's equation simplifies in cylindrical and spherical symmetries